New transceiver options since CTU 2015 + Performance – What's Possible & What's Needed?

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How to optimize what you currently own



• What is important in a Contest Environment?

- We need Good Dynamic Range to hear weak signals in the presence of near-by strong signals.
- In a Dxpedition the pile-up is typically:
- CW signals "Up 2" or SSB signals "Up 5"
- Contests DX pile-up, it is the same problem
- You need a better receiver for CW than for SSB.
- How does published test data relate to reception of weak signals?

State-of-the-Art in Dynamic Range today

- Close-in dynamic range (DR3) > 105 dB
- Phase noise @ 10 kHz \leq -145 dBc / Hz
- Reciprocal Mixing (RMDR) > 115 dB
- Rigs with this kind of performance:
- Icom IC-7851, Flex 6700 & Elecraft K3S
- Apache ANAN-200D not far behind

What is new since last year?

- Icom 7851 Flagship up-conversion transceiver
- Icom 7300 Direct-Sampling transceiver
- Elecraft K3S update of the K3
- New software for Apache ANAN-200D

Icom IC-7851 numbers

- Greatly improved synthesizer (phase noise)
- New 1.2 kHz VHF roofing filter
- 20 kHz dynamic range: 110 dB
- 2 kHz dynamic range: 105 dB
- 20 kHz RMDR: 125 dB
- 2 kHz RMDR: 115 dB
- Noise floor as low at -141 dBm Preamp 2

Icom IC-7300 numbers

- First direct-sampling SDR from the big three!
- Tunes with knobs & touch LCD, no computer
- 20 kHz dynamic range: 103 dB (IP+)
 2 kHz dynamic range: 94 dB (IP+)
 20 kHz RMDR: 113 dB
 2 kHz RMDR: 100 dB

Noise floor as low at -142 dBm Preamp 2

Elecraft K3S numbers

- Greatly improved synthesizer
- Improved receive audio
- New 6-pole roofing filters, low passive IMD
- 20 kHz dynamic range: 107 dB
- 2 kHz dynamic range: 106 dB
- 20 kHz RMDR: 118 dB
- 2 kHz RMDR: 113 dB
- New internal preamp 12 6 meters
- Noise floor as low as -145 dBm

Apache ANAN new software features

- Open Source code = new features fast
- Better DSP filter defaults, particularly CW
- Spectral NB works in contest conditions
- New NR algorithms
- Midi support for DJ Console for mechanical knobs or control via a tablet
- For the "techie" new band noise measurement capabilities

Time for the numbers

- What do these state-of-the-art numbers mean?
- How do we cope with a more typical radio?
- Optimize performance of what we own

What does dynamic range mean?

- Two equal signals are fed into the receiver.
- Third-order IMD is dominant.
- Level increased until distortion = noise floor
- This level vs. the noise floor = dynamic range
- Defined in QST 1975
- Noise floor = -128 dBm, test level = -28 dBm
- -128 dBm minus -28 dBm = 100 dB
- Dynamic Range (DR3) = 100 dB

Third Order IMD to Measure Dynamic Range



A note on phase noise / RMDR

- Reciprocal Mixing Dynamic Range (RMDR)
- Only since late in 2013 has the ARRL consistently emphasized the importance of good phase noise performance (RMDR).
- Read Bob Allison's sidebar April 2012 QST & latest update May 2016 QST for details.
- Peter Hart (G3SJX) for RSGB has long published RMDR data.

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Reciprocal mixing puts LO noise on top of weak signal



Noisy local oscillator (LO) transfers its noise to the strong out-ofpassband signal and on top of the weak signal we are trying to copy.

RMDR often dominates over DR3

- Only a few "legacy" transceivers, plus directsampling SDR radios have RMDR > DR3.
- Elecraft K3 w/ new synthesizer, K3S or KX3
- Hilberling PT-8000A
- Icom IC-7850, IC-7851 & IC-7300
- Flex 6700, 6500 & 6300
- Apache ANAN-200D

How do you relate to this data?

- Typical receiver, preamp OFF
- Noise floor = -128 dBm
- "Holy grail" 100 dB DR3 radio (@ 2kHz)
- Can handle signals -28 dBm = S9 +45 dB
- Note: That is above the receiver's noise floor
- How does that relate to band noise?
- Will get to that in a moment.

Luckily we can live with 85 dB radios

- What performance is usually good enough?
- From the advent of "up-conversion" radios around 1979 (TR-7) until 2003 with the Orion I, all we had were 70 dB DR3 radios at 2 kHz.
- These were barely adequate on SSB and not acceptable on CW in DX pile-ups or contests.
- If we operate our 85 to 90 dB radios properly, they perform well in most environments.
- Most of the time our radios are not stressed to their limits.

Close-in 2-kHz Test @ 500 Hz BW

Dynamic Range of Top 14 Transceivers

Elecraft K3S	106 dB	
 Icom 7851 	105 dB	
• Flex 6700	99 / 108 dB	(preamp Off/On)
Hilberling	105 dB	
 Elecraft KX3 	104 dB	
• FTdx-5000D	101 dB	
• Flex 5000	96 dB	
 Elecraft K3 	95 dB	(original synthesizer)
Orion II	95 dB	
 Icom 7300 	94 dB	(IP+)
Orion I	93 dB	
• TS-590SG	92 dB	
TT Eagle	90 dB	
• Flex 3000	90 dB	

Why is higher DR3 needed on CW?

- Transmitted bandwidth of an adjacent strong signal may be the limit, not receiver overload.
- A CW signal is about 1 kHz wide at -60 dB.
- An SSB signal is about 10 kHz wide at -60 dB.
- A CW pile-up may overload your receiver.
- On SSB, splatter will likely dominate before the receiver dynamic range is exceeded.

What is the Bandwidth of a CW Signal?

On-channel signal = S9 + 40 dB (-33 dBm) Receiver = K3, 400 Hz 8-pole roofing + 400 Hz DSP Filter Transmitter = Omni-VII with adjustable rise time Undesired signal 700 Hz away, continuous "dits" at 30 wpm

Rise time of Omni-VII	Strength of	CW sidebands	
Signal	S9 + 40	-33 dBm	Ref
3 msec	S7	-83 dBm	-50 dB
4 msec	S6	-88 dBm	1
5 msec	S6	-88 dBm	
6 msec	S5	-93 dBm	22 dB !
7 msec	S4	-99 dBm	
8 msec	S4	-99 dBm	
9 msec	S4	-99 dBm	
10 msec	S 3	-105 dBm	-72 dB

Many rigs are much faster than 3 msec

Spectrum of CW Signal on HP 3585A Analyzer

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Comparison of 3 msec vs 10 msec rise time



Apache PureSignal much like class A

White Noise Mk V Class A vs. K3 Class B @ 75 Watts



Noise source = GR 1381, 5-kHz -3 dB BW

Icom IC-7410 Class AB, White Noise



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How Wide Is Your Signal ?

Comparison 2-Tone vs. Noise Intermodulation Bandwidth



How do we optimize what we have?

- While we might own a 100+ dB DR3 radio, many of us have somewhat less performance.
- My TS-990S is around a 90 dB radio @ 2 kHz.
- Consider dynamic range a "window" of performance that can be moved around in absolute level by properly using your attenuator or preamp.

Receiver Noise Floor vs. Band Noise

- When is the spec for noise floor significant?
- Why does it rarely matter on most bands?
- **Noise Floor is usually significantly lower than Band Noise.**
- An ITU graph published in the ARRL Handbook gives us a starting point to relate band noise to noise floor.
- This ITU data is in a 500-Hz bandwidth, just like typical noise floor data.

Band Noise vs. Frequency from ARRL Handbook



Fig 1 — Typical noise levels versus frequency for various environments. (Manmade noise in a 500-Hz bandwidth, from Rec. ITU-R P.372.7, *Radio Noise*)

Most Radios are designed for 10 meters

Typical rural band noise on 10 meters is -120 dBm

Typical rural band noise on 20 meters is -110 dBm

On 20 meters, band noise is almost 20 dB higher than typical receiver noise with the preamp OFF !

Optimally receiver noise should be 8 to 10 dB lower than band noise to have minimal effect on receiving weak signals.

Even on 10 & 15 meters, a preamp isn't needed all the time in a rural environment.

A simple test with only an analog meter

- Most hams don't own a calibrated signal generator.
- How do you evaluate your receiver?
- This also evaluates your antenna !
- Measure the noise gain when you connect your antenna.
- All you need is an analog meter with a dB scale, hooked up to your speaker.

Measure the noise gain

- Disconnect your antenna and set the volume so your dB meter reads -10 dB.
- (Put a dummy load on the rig, though open circuit usually works OK, too.)
- Connect the antenna and see how many dB the noise goes up when tuned to a dead spot on the band.
- Do this with Preamp OFF and ON.
- Also rotate your Yagi 360 degrees.
- Noise can easily change 10 dB with azimuth!

15 & 10 meters noise gain

Rig = Icom IC-756 Pro III

10 meter antenna = Hy-gain105CA @ 65 feet15 meter antenna = Hy-gain155CA @ 70 feetPreamp15m10mNone4 dB3 dB*Preamp 111.5 dB9.5 dBPreamp 213.0 dB11.0 dB

* @ 3 dB, receiver noise = band noise = not OK

LJ-155CA Yagi in band noise example



LJ-105CA in band noise example



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How does band noise vary by band?

If we take the ITU rural data as a starting point, what is typical?

160 meters:80 meters:40 meters:20 meters:15 meters:10 meters:

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-87 dBm *

- -93 dBm *
- -101 dBm *
- -109 dBm #
- -114 dBm <mark>#</mark>
 - -119 dBm #

That's a 30+ dB difference in band noise * = nighttime # = daytime

Measured band noise at NC0B

160 meters 8:00 AM MST:160 meters 4:00 PM MST:160 meters 6:30 PM MST:

ITU rural nominal value:

Beam Heading, October 2013 0 degrees beam heading: 30 degrees: 60 degrees: 90 degrees: 120 degrees: 150 degrees:

ITU rural nominal value:

-105 dBm -101 dBm -91 dBm

January 2014 160 meter CQ CW Contest

-87 dBm

15 meters -124 dBm -124 dBm -118 dBm -114 dBm

- -113 dBm
- -114 dBm

- 10 meters
- -129 dBm
- -123 dBm
- -120 dBm
- -120 dBm
- -122 dBm
- -122 dBm

-114 dBm

-119 dBm

ITU / ARRL Data is generally correct

- Those numbers = starting point for a rural QTH
- On a give day there can be ± 10 dB differences
- In 2014 ARRL 10 Meter SSB my noise floor was 10 dB lower than the rural ITU value, pointed West between 3 and 5 PM local time while working ZL, VK & JAs.
- (5 element monoband Yagi @ 65 feet)
- Urban QTH with RFI noise, all bets are off
- How's your neighbor's Plasma TV ?

A note about the ITU data

- The ITU data assumes an omni-directional antenna.
- Your Yagi or directional low-band antenna (4-square) can significantly improve on your band noise in some directions.

Numbers with Preamp-1 ON

Noise Floor Quite Consistent in Top 12

- Flex 6700
- Icom 7851
- Elecraft K3s
- Elecraft KX3
- FTdx-5000D
- Flex 5000
- Orion II
- Icom 7300
- Orion I
- T-T Eagle
- Flex 3000
- TS-590SG
- 37 Drake R-4C

- -135 dBm
- -135 dBm
- -138 dBm
- -138 dBm
- -135 dBm
- -135 dBm
- -133 dBm
- -141 dBm
- -135 dBm
- -132 dBm
- -139 dBm
- -135 dBm
- -138 dBm
- (For comparison)

What does all this imply?

- For most radios: Up-conversion / down-conversion
- On the lower bands at night, attenuation is often appropriate.
- There is no point in band noise reading upscale on your S meter.
- A preamp is usually NOT needed on 20 meters.
- A preamp would *never* be needed at night on 40 meters and below, assuming the transmit antenna is used on receive.

Reducing Contest Fatigue

Contests: 2015 / 2016

February CQ SSB Contest 160m

Using a TS-990S during the day attenuator = 6 dB During nighttime, attenuator = 12 dB, occasionally 18 dB !

Set the AGC threshold about 6 dB above band noise.

January CQ CW Contest 160m Using Apache ANAN-200D, I set the AGC threshold about 6 dB above band noise. Time of day dependent

(December 2014 ARRL 160 m CW contest set AGC-T)

March 2016 ARRL SSB DX Contest using TS-990S 10m – Preamp & 6 dB pad or occasionally 12 dB pad !

Times of day can break the general rules

- In a rural environment, daytime band noise on 80 and 40 meters can be quite low.
- Noon at my QTH 40 meters -115 dBm
- 8:30 AM my QTH 80 meters -120 dBm
- Flex 6300 has no preamp below 30 meters*
- There are times when you need a -128 dBm noise floor on 40 and 80 meters.
- * Flex says this will be corrected, likely 2nd quarter 2016. Cost to retrofit unknown.

How do we evaluate & optimize a transceiver?

- 160 40m receivers are too sensitive at night.
- Make the most of the radio's dynamic range by properly using the attenuator and using the preamp only when necessary on the high bands.
- Published dynamic range can be misleading, depending on how it is measured. This could be a complete presentation on its own.
- Look at RMDR, as this typically dominates.
- (RMDR* = Reciprocal Mixing Dynamic Range)
- [*QST April 2012 for sidebar Bob Allison]
- It is a numbers game today!
- Evaluation in pile-up conditions is critical.
- A lab setup can never approximate CQ WW !

http://www.NC0B.com



Videos from past CTU presentations

CTU 2015 (select from all presenters)

https://www.youtube.com/playlist?list=PLRSwUN4qr1Lq50amRt sZm-y2nKPHHRz0v

CTU 2013 & 2014 (Select desired year) http://www.contestuniversity.com/main/page_videos.html

CTU 2011 http://www.pvrc.org/webinar/radioperformance.wmv